

# Collaborative Communications in Wireless Networks Without Perfect Synchronization

**Xiaohua(Edward) Li**

Assistant Professor

Department of Electrical and Computer Engineering

Binghamton University

Phone: 607-777-6048. Fax: 607-777-4464

Email: [xli@binghamton.edu](mailto:xli@binghamton.edu)

URL: <http://ucesp.ws.binghamton.edu/~xli>



*State University of New York*

# Contents

1. Introduction: collaboration, application scenarios
2. Benefits
3. Challenges
4. Results #1: cooperative transmission in sensor networks
5. Results #2: cooperative STBC for distributed transmissions
6. On-going research: secure WLAN with collaborative communications
7. Conclusions

# 1. Introduction

- **Collaborative communications**
  - multiple nodes perform transmission or reception cooperatively in dense wireless networks
  - emulate antenna arrays by group of single antennas
  - use low-cost single devices for high performance, capacity, reliability

# 1. Introduction

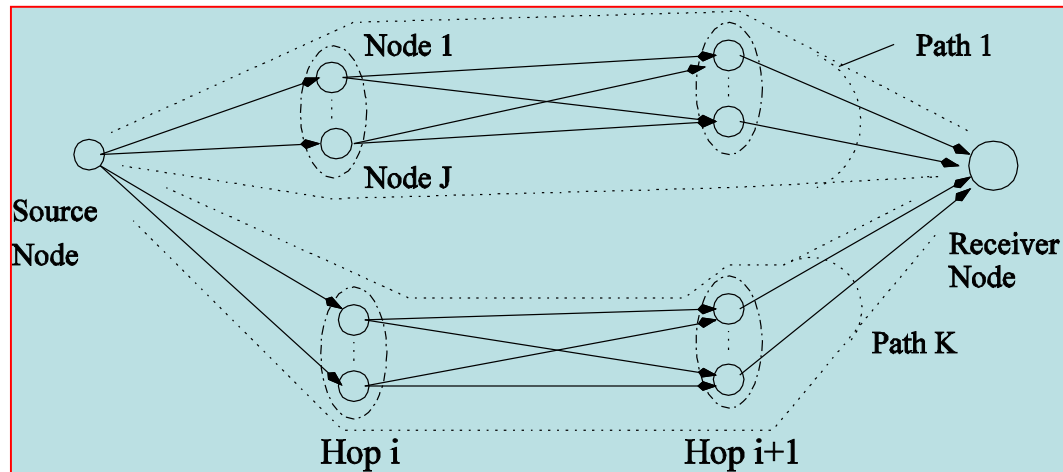
- **Typical application scenarios**
  - **Military**: collaboration among group of highly mobile devices carried by soldiers or vehicles
  - **Sensor network**: collaboration among densely deployed sensors to compensate for the limited capability/reliability of each single sensor
  - **Commercial**: collaboration among mobiles in cellular systems, WLAN, where mobiles become cheaper and dense

## 2. Benefits: Implementation Aspect

- Resolve the problem that mobile nodes have no antenna arrays
- Low cost compared with physical arrays
- Easy system development and realization
- Convenience of upgrading existing systems
  - e.g., what can we do with extra WLAN base stations?

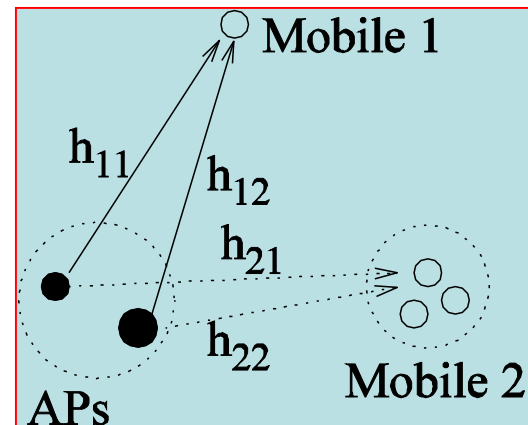
## 2. Benefits: Performance Aspect

- Enhance **transmission power efficiency** through cooperative diversity
  - Both **macro-diversity** and micro-diversity
- Enhance **bandwidth efficiency** through cooperative MIMO transmissions



## 2. Benefits: Performance Aspect

- **Physical-layer guaranteed security** for wireless networks with cooperative beamforming
  - Wireless boundary control, beam-steering/nulling, location/visualization
- Network **reliability and fault tolerance**
- Assist blind equalization



# 3. Challenges

- Collaboration protocol and overhead
- **Synchronization among distributed nodes**
  - Mismatch: carrier frequency, carrier phase, timing, timing phase
  - Due to: noise, parameter drifting, PLL tracking error, devices designed by different companies (inter-operability)
- Information exchange among transmitters or receivers
  - Possible way: use WPAN, UWB, HF to implement high-rate short-range link
- **Upgrade existing system with minimum changes**
  - e.g., use collaborative communications in WLAN for higher rate, longer range, or security



### 3. Challenges

- Synchronization problem makes distributed cooperative transmissions a completely new area
  - Carrier mismatch: time-varying channel
  - Timing mismatch: unequal symbol rate
  - Timing phase mismatch: dispersive channel
- Mixture signal structure may be destroyed
  - Traditional array processing such as STBC may not be directly applicable
- Different from existing TDMA, FDMA, CDMA, or array transmissions

## 4. Cooperative Transmission in Sensor Networks

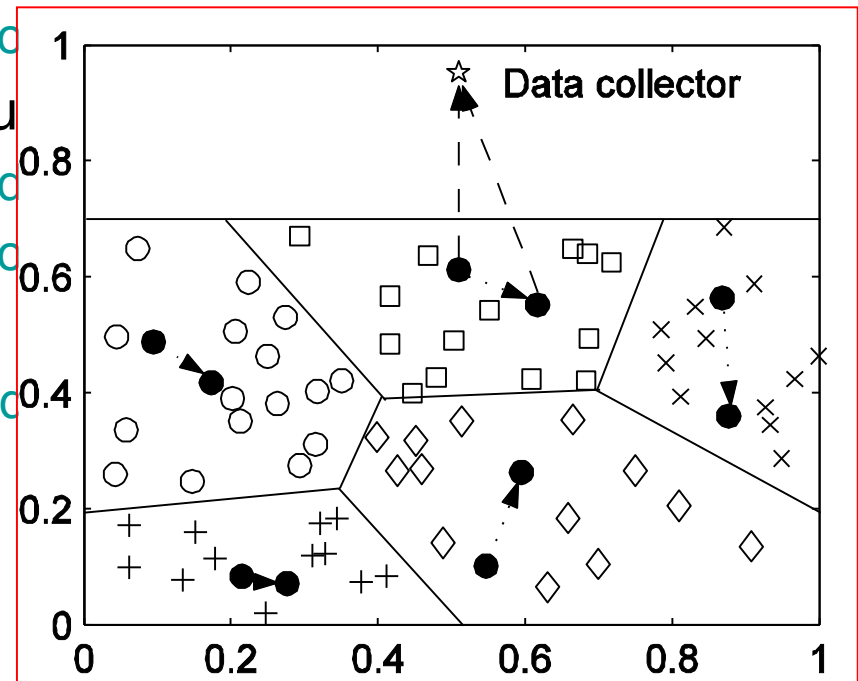
- Sensor network is a potential area for cooperative transmissions
  - enhance transmission energy efficiency
- Existing works:
  - STBC-encoded transmission protocols, diversity benefits, energy efficiency analysis
- Problems:
  - collaboration overhead, synchronization, applicability of flat-fading channel model
  - Is cooperative transmission advantageous?

## 4. Cooperative Transmission in Sensor Networks

- Apply STBC-encoded cooperative transmission in LEACH (a typical networking/communication protocol)
  - Protocol modification and overhead analysis
  - Synchronization analysis and channel model
  - Overall energy efficiency analysis
  - Simulations & conclusions

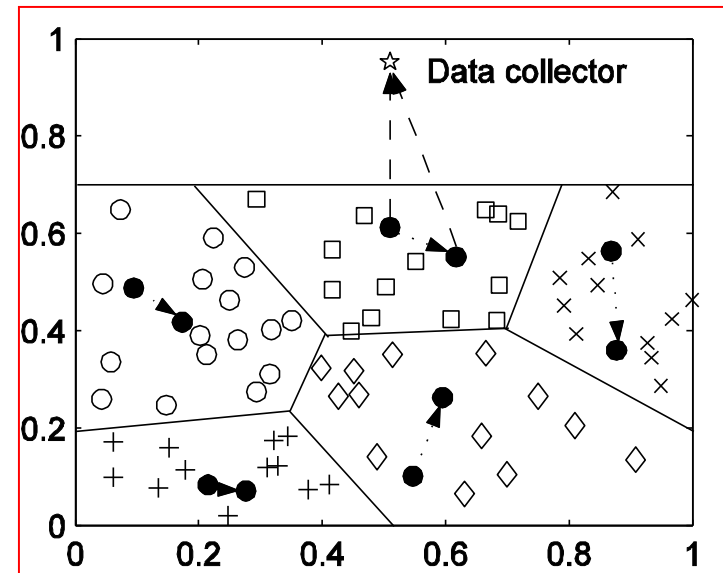
## 4. Cooperative Transmission in Sensor Networks

- Protocol modification & overhead analysis
  - Advertisement to determine cluster head
  - Cluster setup
    - one-byte more transmission
  - TDMA transmission schedule
    - determine secondary head
    - one-byte more transmission
  - Data transmission
    - Primary → secondary head
    - Cooperative transmission from heads to collector
- Overhead is small



## 4. Cooperative Transmission in Sensor Networks

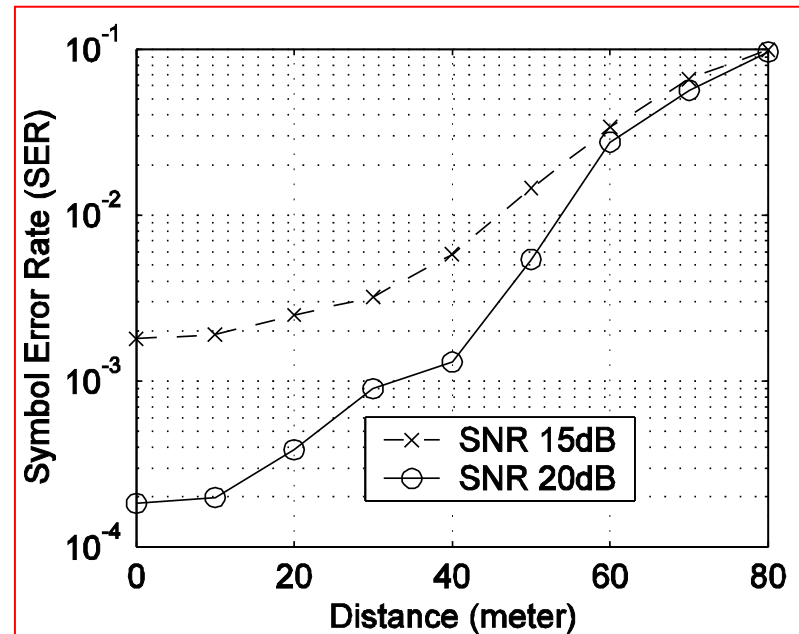
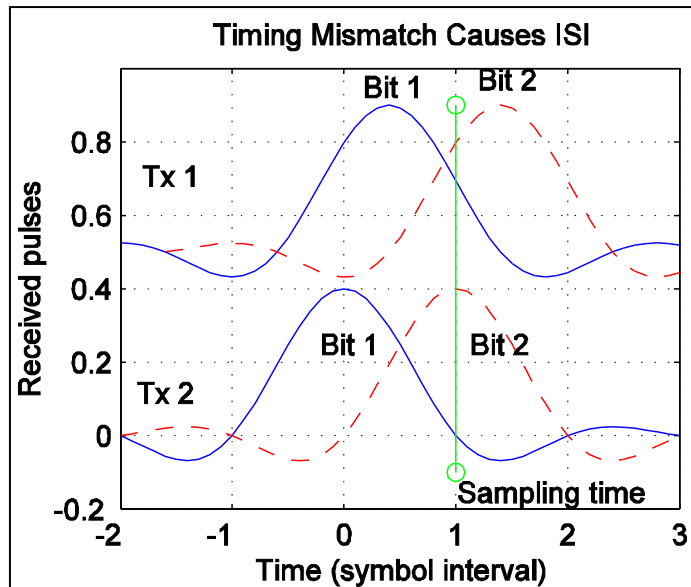
- Synchronization analysis & channel model
  - Secondary heads synchronize frequency/timing to primary heads
  - Carrier phase & timing phase asynchronism contributes to channels  $\rightarrow$  ISI
- Received signal model



$$r(t) = \text{Re} \left[ \sum_{i=1}^J \sum_{k=-\infty}^{\infty} a_i b_i(k) p(t - kT - \tau_i) e^{j(2\pi f_c t - \theta_i)} + w(t) \right]$$

$$x(n) = \sum_{i=1}^J a_i e^{j\theta_i} \left[ p(\tau - \tau_i) b_i(n) + \sum_{l \neq n} p(lT + \tau - \tau_i) b_i(n - l) \right] + v(n)$$

- Synchronization analysis & channel model
  - Need to limit the distance among cooperative sensors for ommissible ISI → flat fading channel model



## 4. Cooperative Transmission in Sensor Networks

- Overall energy efficiency analysis
  - Cooperative **transmission energy efficiency** >> single transmission energy efficiency
  - Consider collaboration overhead, circuit energy, then

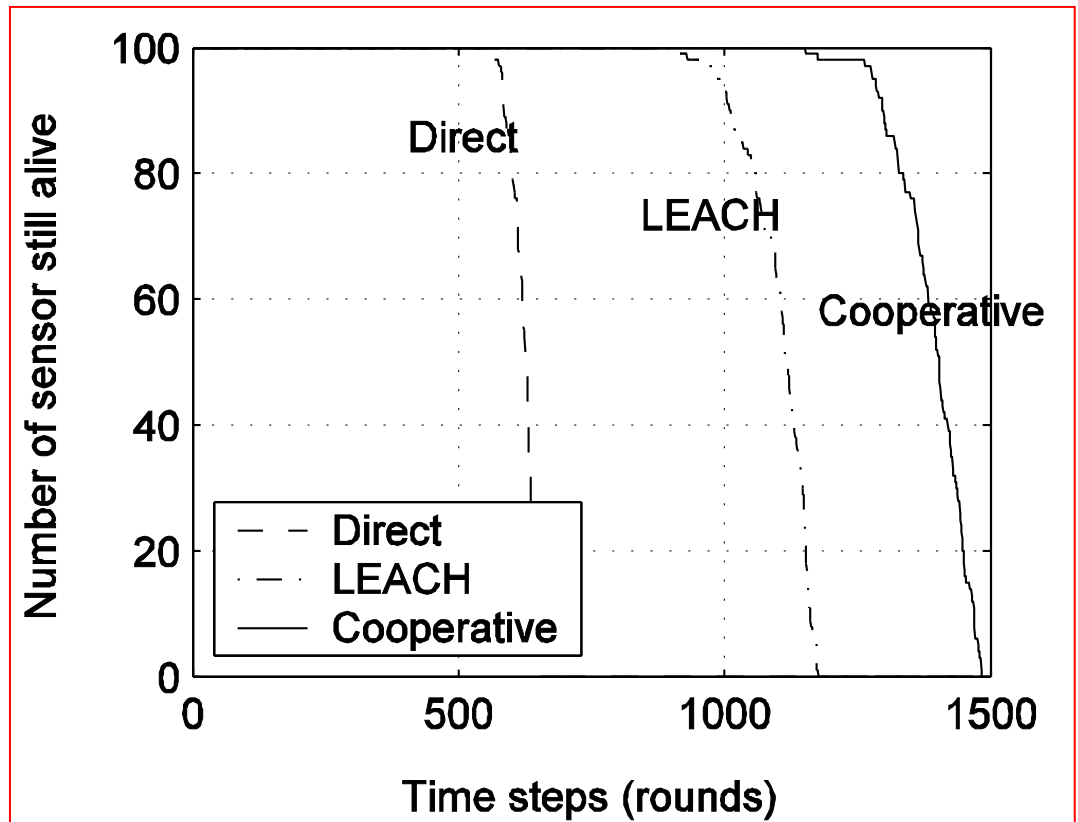
If cooperative transmission distance is greater than

$$d = \sqrt{[(J + 1)\frac{k_I}{k} + J - 2]\frac{E_c}{E_{RF}}}$$

Cooperative transmission is still advantageous.

## 4. Cooperative Transmission in Sensor Networks

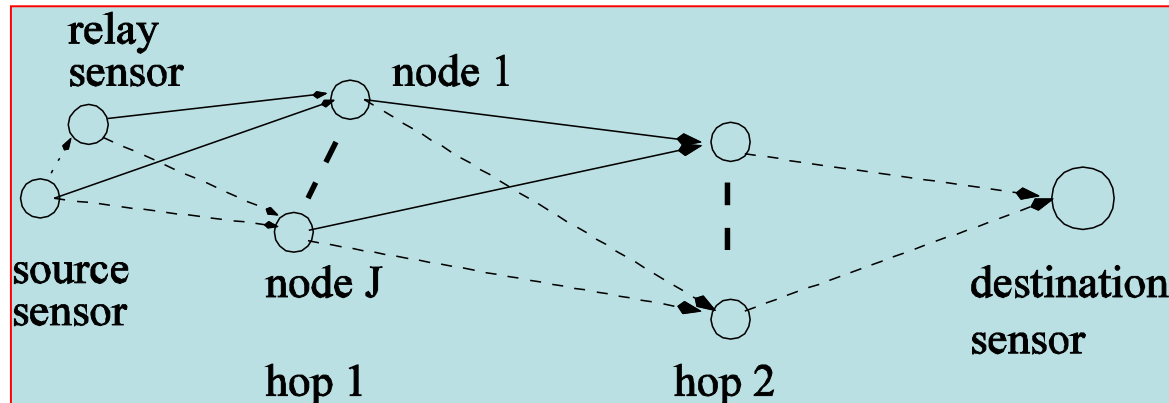
- Simulations
- For  $J=2,3,4, 5$ , we find  $d=39,57,69,87$  meters
- Cooperative transmission is useful in sensor networks





## 5. Cooperative STBC for Distributed Transmissions

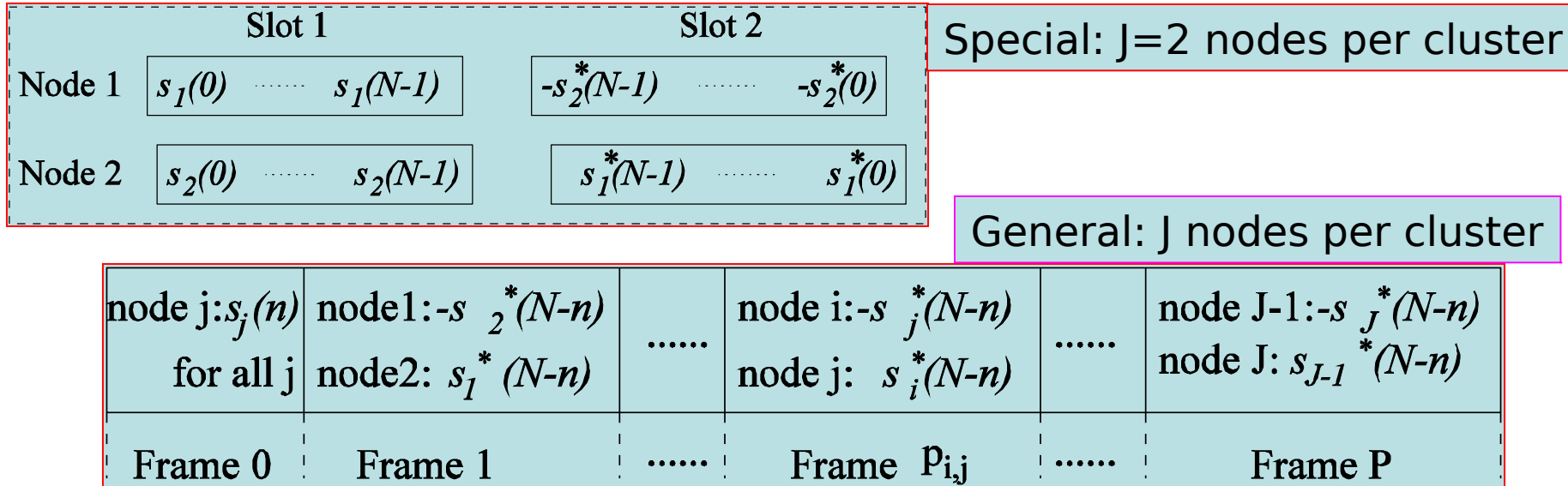
- Existing work on cooperative STBC: idealized synchronization
- What if synchronization is imperfect?
  - e.g.,  $d$  is very large for better macro-diversity
- Timing synchronization may be impossible in multi-hop cooperative transmission networks



## 5. Cooperative STBC for Distributed Transmissions

- Effect of imperfect synchronization
  - Carrier frequency
    - time-varying channels: constraint on block length
  - Symbol timing
    - Unequal symbol rate: constraint on block length
    - Unequal delay: structure of STBC signal destroyed
  - Timing phase
    - Dispersive channels: equalization required

## 5. Cooperative STBC for Distributed Transmissions



### • Proposed cooperative STBC transmission scheme:

- J transmitters transmit a data packet in P frames
- Transmissions may be conjugated and time-reversed

## 5. Cooperative STBC for Distributed Transmissions

- Received signal model

$$x_0(n) = \sum_{j=1}^J \sum_{l=0}^L h_j(l) s_j(n-l-d_j) + v_0(n)$$

$$\mathbf{x}_0(n) = \sum_{j=1}^J \mathbf{H}_j \mathbf{s}_j(n-d_j) + \mathbf{v}_0(n)$$

- Use a linear (maximal ratio) combiner for decoding

$$y_j(n) = \tilde{\mathbf{h}}_j^T \mathbf{x}_0(n) - \sum_{i=1}^{j-1} \mathbf{h}_i^T \mathbf{x}_{p_{i,j}}(n) + \sum_{k=j+1}^J \mathbf{h}_k^T \mathbf{x}_{p_{j,k}}(n)$$

- Decoding results: require a linear equalizer for symbol estimation

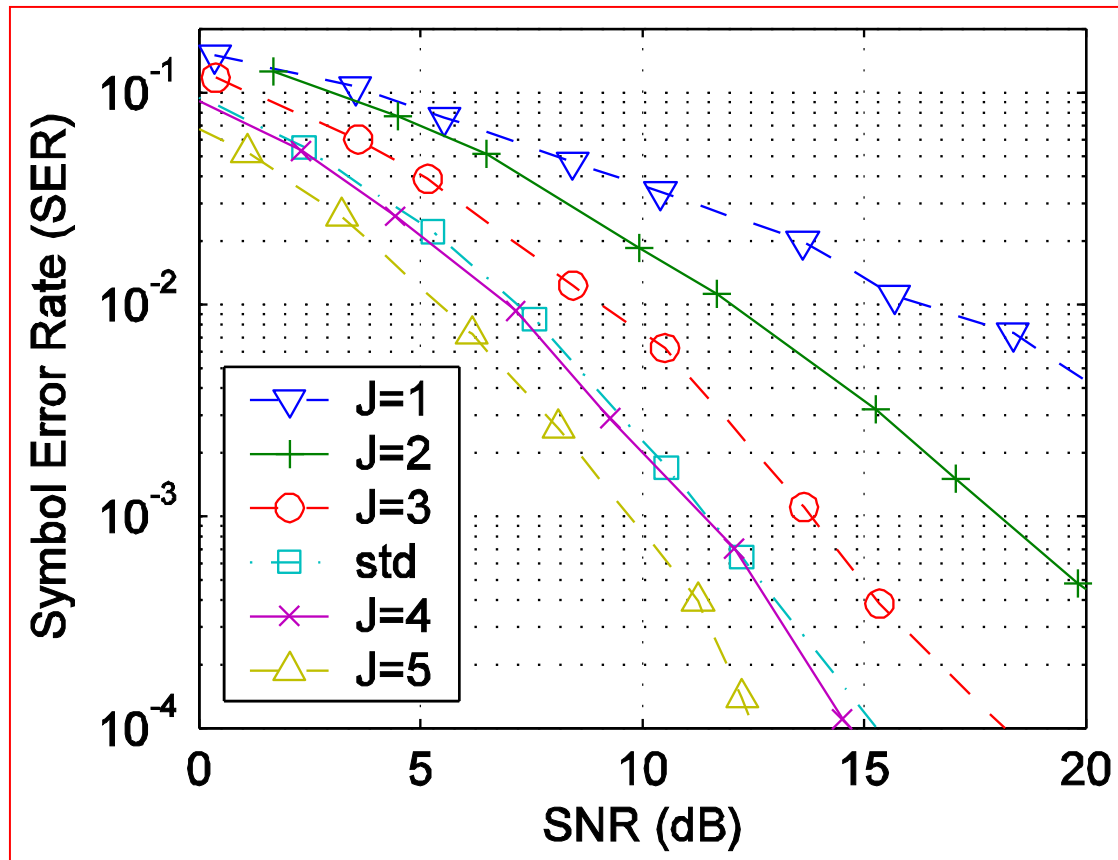
$$y_j(n) = \mathbf{g}^T \mathbf{s}_j(n-d_j) + w_j(n)$$

## 5. Cooperative STBC for Distributed Transmissions

- Properties
  - **Tolerate asynchronous delays** up to certain maximum bounds (reduce synchronization cost)
  - Linear complexity
  - Full diversity for any  $J$  cooperative nodes
  - Rate comparable to ordinary STBC for  $J=2$  to  $5$  ( $1$ ,  $\frac{3}{4}$ ,  $\frac{4}{7}$ ,  $\frac{5}{11}$ ); but converges to  $\frac{2}{J}$  for large  $J$

## 5. Cooperative STBC for Distributed Transmissions

- Simulations: no loss of diversity while tolerating asynchronous transmissions

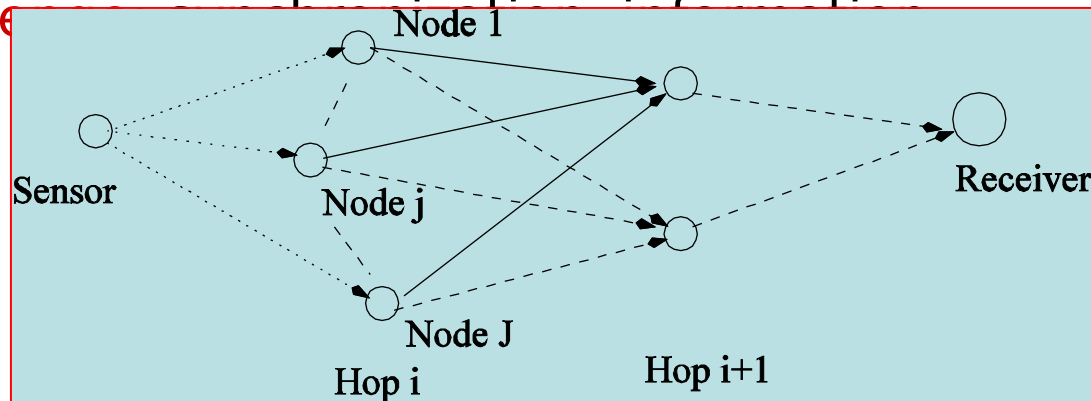


## 6. Secure WLAN with Collaborative Communications

- Collaborative communications provide wireless information assurance
  - wireless boundary control
  - location-based wireless intrusion detection
  - flexible response to intrusions
  - Anti-jam, low probability of interception
- Potential:
  - Make wireless networks as secure as wired networks
  - Provide a cost-effective way for enhancing existing and emerging wireless networks

## 6. Secure WLAN with Collaborative Communications

- Collaboration among multiple transmitters and/or multiple receivers
  - Cooperative transmission: directional transmission, beamforming
  - Cooperative receiving: directional receiving, beamforming,
  - Resolve the problem: mobiles have no antenna arrays
  - Major challenge: information exchange





## 6. Secure WLAN with Collaborative Communications

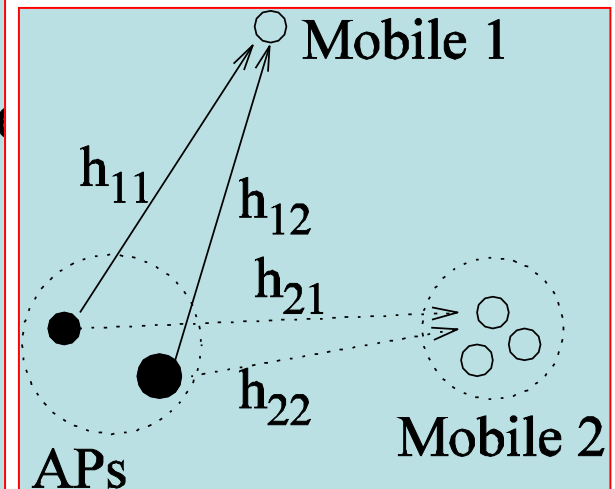
- Beam Steering and Nulling

Apply beamforming weights  $c_{1j}$  such that

$$\sum_{j=1}^J c_{1j} h_{1j} = 1, \quad \sum_{j=1}^J c_{1j} h_{kj} = 0, k \neq 1$$

Freedom in  $c_{1j}$  are used to randomize the signal toward other directions

$$\sum_{j=1}^J c_{1j} g_j = \text{random}$$



## 6. Secure WLAN with Collaborative Communications

- **Wireless Boundary Control**
  - Each group of transmitters provide detectible transmissions toward desired users only
  - Signals toward others are fast time-varying, randomized, and with reduced power
  - Low probability of intercept
  - Group of receivers cooperatively implement beamforming to mitigate interference/jam
- **Challenges:**
  - Channel feedback, data sharing among the transmitters, transmission synchronization, information exchange among receivers

## 6. Secure WLAN with Collaborative Communications

- Intrusion Detection and Response

- Intrusion detection

- Array of access points can locate every mobiles
    - Location information is displayed for visualization, just as camera-system-based building monitoring systems
    - Detect potential intruders in the very beginning

- Intrusion response

- Beam nulling toward the intruders
    - Location/channel based transmission: intrusion tolerance

## 6. Secure WLAN with Collaborative Communications

- Implementation Issues

- Cost effective ways to enhance existing systems

- Low cost: use multiple similar devices such as access points or relays
    - Compatible with existing or emerging systems: slight modification on physical-layer signal processing

- Interesting and challenging works toward purely distributed processing

- e.g., asynchronous cooperative communications, fault tolerant and optimal network designs with low cost nodes

# Conclusions

- Defined collaborative communications
- Discussed benefits and major challenges
- Showed that cooperative transmission is useful for sensor networks
- Developed new cooperative STBC in asynchronous transmissions
- Discussed work toward wireless network security



*State University of New York*